



Robert Greene Sterne Jorge A. Goldstein David K.5. Cornwell Robert W. Esmond Tracy-Gene G. Durkin Michael B. Ray Robert E. Sokohl Eric K. Steffe Michael Q. Lee Steven R. Ludwig John M. Covert Linda E. Horner Robert C. Millonig Donald J. Featherstone Timothy J. Shea, Jr Michael V. Messinger Judith U. Kim Patrick E. Garrett
Jeffrey T. Helvey
Heidi L. Kraus
Eldora L. Ellison
Thomas C. Fiala
Donald R. Banowit
Peter A. Jackman
Jeffrey S. Weaver
Brian J. Del Buono
Edward W. Yee
Vincent L. Capuano
Virgil Lee Beaston
Theodore A. Wood
Elizabeth J. Haanes
Joseph S. Ostroff
Frank R. Cottingham
Rae Lynn P. Guest
Daniel A. Klein

Jason D. Eisenberg Michael D. Specht Tracy L. Muller Jon E. Wright LuAnne M. DeSantis Ann E. Summerfield Helene C. Carlson Cynthia M. Bouchez Timothy A. Doyle Gaby L. Longsworth Lori A. Gordon Laura A. Vogel Bryan S. Wade Bashir M.S. Ali Shannon A. Carroll Matthew E. Kelley Michelle K. Holoubek Marsha A. Rose Christopher J. Walsh W. Blake Coblentz* James J. Pohl* Young Tang John T. Haran* Mark W. Rygiel

Registered Patent Agents -Karen R. Markowicz Matthew J. Dowd Katrina Yujian Pei Quach Bryan L. Skelton Robert A. Schwartzman Victoria S. Rutherford Simon J. Elliott Julie A. Heider Mita Mukherjee Scott M. Woodhouse Liliana Di Nola-Baron Peter A. Socarras Jeffrey K. Mills Danielle L. Letting Lori Brandes

Of Counsel Edward J. Kessler Kenneth C. Bass III Marvin C. Guthrie

*Admitted only in Maryland *Admitted only in Virginia

*Admitted only in Vir •Practice Limited to Federal Agencies

July 13, 2006

WRITER'S DIRECT NUMBER: (202) 772-8525 INTERNET ADDRESS: BRIAND@SKGF.COM

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 Art Unit 1636

Attn: Mail Stop Amendment

Re: U.S. Utility Patent Appl. No. 10/551,054; § 371 Date: September 23, 2005

(U.S. National Phase of Intl. Appl. No. PCT/EP04/003164); I.A. Date: March 25, 2004)

For:

Melan-A-Carrier Conjugates

Inventors:

Martin F. BACHMANN et al.

Our Ref:

1700.0590000/BJD/WBC

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement Filing Under 37 C.F.R. § 1.97(b);
- 2. Form PTO/SB/08B (9 pages) citing eighty-three (83) documents;
- 3. Copies of eighty-three (83) cited documents: NPL1-NPL83;
- 4. Form PTO-1449 (9 pages) citing sixty-seven (67) documents;
- 5. Copies of forty-three (43) cited documents: AL1-AP1; AL2-AP2; AL3-AP3; AL4-AP4; AL5-AP5; AL6-AP6; AL3-AP3; AL4-AP4; AL5-AP5; AL6-AP6; AL7-AP7; AL8-AP8; and AL9-AN9; and
- 6. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC.: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Commissioner for Patents July 13, 2006 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Brian J. Del Buono Attorney for Applicants Registration No. 42,473

BJD/WBC:cpn Enclosures

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

Martin F. BACHMANN et al.

Appl. No.: 10/551,054

(U.S. National Phase of Intl. Appl. No.

PCT/EP04/003164)

Filed: September 23, 2005

(Int'l Filing Date: March 25, 2004)

For: Melan-A-Carrier Conjugates

Confirmation No.: 1432

Art Unit: 1636

Examiner: To Be Assigned

Atty. Docket: 1700.0590000/BJD/WBC

Information Disclosure Statement Filing Under 37 C.F.R. § 1.97(b)

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Forms PTO-1449 and PTO/SB/08B are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. Copies of documents **AL1-AN9** and **NPL1-NPL83** are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2), copies of U.S. patents and patent application publications, documents **AA1-AB3**, cited on the attached Form PTO-1449 are not submitted.

In accordance with 37 C.F.R. § 1.98(a)(3), Applicants' undersigned representative submits the following discussion of the relevance of the non-English language documents **AO1** and **AO3** cited on Form PTO-1449:

Document AO1, EP 0 201 416 B1, is in the French language. An English language abstract of document AO1 is attached as document NPL83.

Document AO3, WO 98/58751 A1, is in the French language. An English

language abstract of document AO3 is located on the face page of said document.

Where the publication date of a listed document does not provide a month of

publication, the year of publication of the listed document is sufficiently earlier than the

effective U.S. filing date and any foreign priority date so that the month of publication is

not in issue. Applicants have listed publication dates on the attached IDS Forms based

on information presently available to the undersigned. However, the listed publication

dates should not be construed as an admission that the information was actually

published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention

over any of the information provided herewith, and/or to prove that this information may

not be prior art, and/or to prove that this information may not be enabling for the

teachings purportedly offered.

This statement should not be construed as a representation that a search has been

made, or that information more material to the examination of the present patent

application does not exist. The Examiner is specifically requested not to rely solely on

the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing date of a

first Office Action on the merits. No statement or fee is required.

- 3 - Martin F. BACHMANN *et al.*Appl. No.: 10/551,054
(U.S. National Phase of Intl. Appl. No. PCT/EP04/003164)

It is respectfully requested that the Examiner initial and return copies of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Brian J. Del Buono Attorney for Applicants Registration No. 42,473

Date: Jyy 13, 2006

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

514634_1.DOC

Page 1 of 9

JUL 1 3 2006

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1700.0590000/BJD/WBC APPLICATION NO. 10/551,054 (National Phase of Intl. Appl. No. PCT/EP04/003164)

FIRST NAMED INVENTOR

Bachmann, M.

PCT FILING DATE March 25, 2004

ART UNIT To Be Assigned

			U.S. P	ATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
/MM/-	AA1 4,722,840		02/02/1988	Valenzuela et al.			09/19/1985
800000000	AB1	4,959,314	09/25/1990	Mark et al.			02/07/1985
000000000000000000000000000000000000000	AC1	5,143,726	09/01/1992	Thornton et al.			11/20/1989
	AD1	5,565,548	10/15/1996	Neurath et al.			03/15/1993
100000000000000000000000000000000000000	AE1	5,698,424	12/16/1997	Mastico et al.			§ 371 Date: 01/03/1995
	AF1	5,844,075	12/01/1998	Kawakami and Rosenberg			04/05/1995
	AG1	5,874,560	02/23/1999	Kawakami and Rosenberg			04/22/1994
00000	AH1	5,935,821	08/10/1999	Chatterjee et al.			11/21/1996
00000000	Al1	5,939.598	08/17/1999	Kucherlapati et al.			07/30/1992
	AJ1	5,994,523	11/30/1999	Kawakami and Rosenberg			01/16/1998
V	AK1	6,025,470	02/15/2000	Valmori et al.	Valmori et al.		06/23/1997
	1		FOREIGN	PATENT DOCUMENTS			
EXAMINER INITIAL			DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
/MM/			10/21/1981	Europe			Yes
200			03/16/1994	Europe			Yes No
-	AN1	EP 0 421 635 A1	04/10/1991	Europe			Yes
		EP 0 201 416 B1	04/10/1991	Europe			Yes
V	AP1	WO 92/13081 A1	08/06/1992	WIPO			No Yes
7	JAI I	OTHER (I	ncluding Auti	nor, Title, Date, Pertinent	Pages etc	\	No
	AR						
	AS						
	АТ						
EXAMINER	 /Ma	ry Mosher/			DATE	CONSIDERED	12/31/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Page 2 of 9	age	2	of	9	
-------------	-----	---	----	---	--

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1700.0590000/BJD/WBC APPLICATION NO. 10/551,054 (National Phase of Intl. Appl. No. PCT/EP04/003164)

FIRST NAMED INVENTOR

Bachmann, M.

PCT FILING DATE March 25, 2004 ART UNIT To Be Assigned

			U.S. P.	ATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE	
/MM/	AA2	6,231,864 B1	05/15/2001	Birkett			02/11/1999	
×0000000	AB2	6,270,778 B1	08/07/2001	Kawakami and Rosenberg			03/12/1999	
	AC2	6,277,956 B1	08/21/2001	Valmori et al.			04/16/1998	
	AD2	6,326,200 B1	12/04/2001	Valmori et al.			06/18/1998	
	AE2	6,368,857 B1	04/09/2002	Valmori et al.			10/05/1999	
	AF2	6,380,364 B1	04/30/2002	Mueller et al.			10/06/1999	
000000	AG2	6,384,190 B1	05/07/2002	Valmori et al.			12/14/1999	
	AH2	6,627,202 B2	09/30/2003	Murray			06/04/2001	
and 100000000	Al2	2003/0050268 A1	03/13/2003	Krieg and Berg			03/29/2002	
	AJ2	6,537,560 B1	03/25/2003	Kawakami and Rosenberg			05/05/1998	
<u> </u>	AK2	2003/0082804 A1	05/01/2003	Valmori et al.			02/21/2001	
	,		FOREIGN	PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
/MM/	AL2	EP 0 578 293 A1	01/12/1994	Europe			Yes No	
000000	AM2	WO 94/14969 A1	07/07/1994	WIPO			Yes No	
	AN2	WO 95/29193 A2	11/02/1995	WIPO			Yes No	
	AO2	WO 96/30523 A2	10/03/1996	WIPO			Yes No	
	AP2	WO 98/40100 A1	09/17/1998	WIPO			Yes No	
		OTHER	(Including Autl	nor, Title, Date, Pertinent	Pages, etc.)		
	AR							
	AS							
	АТ							
EXAMINER	/M	ary Mosher/ rence considered, who				CONSIDERED	12/31/2007	

Page 3 of 9	
APPLICATION NO. 10/551,054 (National Phase of Intl. Appl. No. PCT/EP04/003164)	

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 10/551,054 (N Intl. Appl. No. 1700.0590000/BJD/WBC FIRST NAMED INVENTOR Bachmann, M. PCT FILING DATE **ART UNIT** March 25, 2004 To Be Assigned

			U.S. P	ATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
/MM/	AA3	6,719,978 B2	04/13/2004	Schiller et al.	02.00	332 32.130	04/13/2001
V	AB3	6,965,017 B2	11/15/2005	Kawakami and Rosenberg			07/03/2001
	AC						
	AD	1					
	AE						
	AF						
	AG						
	АН						
	Al						
	AJ						
	AK						
	•		FOREIG	N PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
/MM/	AL3	WO 98/49195 A	11/05/1998	WIPO			Yes No
000000	AM3	WO 98/50071 A	11/12/1998	WIPO			Yes No
00000000	AN3	WO 98/58951 A	12/30/1998	WIPO			Yes No
2000000	AO3	WO 98/58751 A	12/30/1998	WIPO			Yes No
V	AP3	WO 99/28478 A		WIPO			Yes No
		OTHE	R (Including Aut	hor, Title, Date, Pertinent	Pages, etc.)		
	AR						
	AS						
	AT						
EXAMINER	/N	lary Mosher/		***	DATE	CONSIDERED	12/31/2007
			whether or not citation with next communic	n is in conformance with MPEP 60 cation to Applicant.	9. Draw line thre	ough citation if not	in conformance

		Page 4 of 9
FORM PTO-1449	ATTY. DOCKET NO. 1700.0590000/BJD/WBC	APPLICATION NO. 10/551,054 (National Phase of Intl. Appl. No. PCT/EP04/003164)
INFORMATION DISCLOSURE STATEMENT	FIRST NAMED INVENTOR Bachmann, M.	
	PCT FILING DATE	ART UNIT

-			U.S. P	ATENT DOCUMEN	TS		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA	_					
	AB						
	AC						
	AD						
	AE						
	AF						
(NA - F	AG						
	АН						
	AI						
	AJ						
	AK						
	L		FOREIGN	N PATENT DOCUM	ENTS		
EXAMINER INITIAL			DATE	COUNTRY	CLAS	SS SUB-CLASS	TRANSLATION
/MM/	AL4	WO 99/40934 A1		WIPO			Yes No
000000000000000000000000000000000000000	AM4	WO 99/57289 A2	11/11/1999	WIPO			Yes No
00000000	AN4	WO 00/23955 A1	04/27/2000	WIPO			Yes No
	AO4	WO 00/32227 A2	06/08/2000	WIPO			Yes No
>	AP4	WO 00/37610 A2		WIPO			Yes No
•		OTHE	R (Including Aut	hor, Title, Date, Pe	rtinent Pages,	etc.)	
	AR						
	AS						
EVANILED	AT /8/	lary Mosher/				ATT 001010555	
EXAMINER	/ IV	iai y iviosiiei/			0/	ATE CONSIDERED	12/31/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Page 5 of 9	
N NO. National Phase of PCT/EP04/003164)	
7 0 1727 0 17000 10 17	

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

and not considered. Include copy of this form with next communication to Applicant.

ATTY. DOCKET NO. **APPLICATION** 10/551,054 (N Intl. Appl. No. 1700.0590000/BJD/WBC FIRST NAMED INVENTOR Bachmann, M. PCT FILING DATE ART UNIT March 25, 2004 To Be Assigned

				U.S. P	ATENT DOCUMENT	S		
EXAMINER INITIAL		DOCU	IMENT BER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA							
	АВ				***			
	AC							
	AD							
	AE							
	AF							-
	AG		<u></u>					
,	AH							
	AI							
	AJ			<u> </u>				
	AK							
	<u> </u>	1		 EODEIGN	N PATENT DOCUME	NTS	1	<u> </u>
EXAMINER	Ι	DOCI	JMENT	FORLIGI	TAILIT DOCUME	113	T	
INITIAL /MM/		NUME	BER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION Yes
71011017	AL5	-	0/39304 A2	07/06/2000	WIPO			No Yes
D000000	AM5	 	D/50461 A1	08/31/2000	WIPO			No Yes
	AN5	WO 00	D/62800 A2	10/26/2000	WIPO			No Yes
	AO5	WO 0	1/16320 A1	03/08/2001	WIPO			No
V_	AP5	WO 01	1/22972 A2	04/05/2001	WIPO	<u> </u>		Yes No
	1		OTHER (including Aut	hor, Title, Date, Pert	inent Pages, etc	· <u>) </u>	
	AR					·		
	AS							
	АТ							
EXAMINER	/M	lary Mo	sher/			DATE	CONSIDERED	12/31/2007
EXAMINER: Init				ther or not citation	is in conformance with MF			

		Page 6 of 9
	ATTY. DOCKET NO. 1700.0590000/BJD/WBC	APPLICATION NO. 10/551,054 (National Phase of Intl. Appl. No. PCT/EP04/003164)
MENT	FIRST NAMED INVENTOR Bachmann, M.	
	PCT FILING DATE	ART UNIT

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT

and not considered. Include copy of this form with next communication to Applicant.

March 25, 2004 To Be Assigned **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT INITIAL NUMBER DATE NAME CLASS SUB-CLASS | FILING DATE AA AB AC AD ΑE AF AG АН ΑI AJ AK **FOREIGN PATENT DOCUMENTS EXAMINER** DOCUMENT INITIAL NUMBER DATE COUNTRY CLASS SUB-CLASS TRANSLATION /MM/ Yes WO 01/22990 A2 04/05/2001 **WIPO** AL6 No Yes WO 01/56603 A1 08/09/2001 **WIPO** AM6 No Yes WO 01/58478 A1 08/16/2001 **WIPO** AN6 No Yes WO 01/77158 A1 10/18/2001 WIPO 1 AO6 No Yes WO 01/85208 A2 11/15/2001 **WIPO** AP6 No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) AR AS ΑT DATE CONSIDERED 12/31/2007 **EXAMINER** /Mary Mosher/ **EXAMINER**: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

	Page 7 of 9
ATTY. DOCKET NO. 1700.0590000/BJD/WBC	APPLICATION NO. 10/551,054 (National Phase of Intl. Appl. No. PCT/EP04/003164)
FIRST NAMED INVENTOR Bachmann, M.	
PCT FILING DATE March 25, 2004	ART UNIT To Be Assigned

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT

	-			U.S. F	PATENT DOCUMEN	ITS			
EXAMINER	T	DOCUME						T	
INITIAL		NUMBER	₹	DATE	NAME	CI	LASS	SUB-CLASS	FILING DATE
	AA				•				
	AB								
	AC								
	AD								
	AE								
	AF								
	AG								
	AH								
	Al								
	AJ								
	AK								
	l	l	<u>1</u>	FOREIG	N PATENT DOCUM	ENTS			
EXAMINER		DOCUM						0115 01 400	TD41101 471011
INITIAL /MM/		WO 01/98		DATE 12/27/2001	WIPO		CLASS	SUB-CLASS	TRANSLATION Yes
-	AL7	WO 02/14		02/21/2002	WIPO				No
800	AM7	WO 02/05		07/11/2002	WIPO				No Yes
	AN7	WO 02/05		07/25/2002	WIPO				No Yes
/	A07	WO 02/05		07/25/2002	WIPO				No Yes
	AP7				thor, Title, Date, Pe	rtinent Pag	os otc \	1	No_
	AR		1.						
	AS								
	АТ	-							
EXAMINER	/M	lary Mosh	ier/				DATE (CONSIDERED	12/31/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

March 25, 2004

	Page 8 of 9
ATTY. DOCKET NO. 1700.0590000/BJD/WBC	APPLICATION NO. 10/551,054 (National Phase of Intl. Appl. No. PCT/EP04/003164)
FIRST NAMED INVENTOR Bachmann, M.	
PCT FILING DATE March 25, 2004	ART UNIT To Be Assigned

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT

			U.S. F	PATENT DOCUMENT	S		
EXAMINER		DOCUMENT	DATE	NAME	CLASS	SUB CLASS	FILING DATE
INITIAL	AA	NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	I AD						-
	AB .						
	AC						
	AD	·					
	AE	-					
	AF						
	AG						
•	AH						
	Al						
	AJ						
	AK						
			FOREIG	N PATENT DOCUME	NTS		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
- /MM/	AL8	WO 03/024480 /		WIPO			Yes No
200000	AM8	WO 03/024481	A2 03/27/2003	WIPO			Yes No
9999	AN8	WO 03/031466	A2 04/17/2003	WIPO			Yes No
00000	AO8	WO 03/039225	12 05/15/2003	WIPO			Yes No
	AP8	WO 03/040164	A2 05/15/2003	WIPO			Yes No
		OTHE	R (Including Au	thor, Title, Date, Per	tinent Pages, etc.		
	AR						
,	AS			,			
	AT			-			
EXAMINER	/N	L lary Mosher/			DATE	CONSIDERED	12/31/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

_		
		Page 9 of 9
FORM PTO-1449	ATTY. DOCKET NO. 1700.0590000/BJD/WBC	APPLICATION NO. 10/551,054 (National Phase of Intl. Appl. No. PCT/EP04/003164)
INFORMATION DISCLOSURE STATEMENT	FIRST NAMED INVENTOR Bachmann, M.	
	PCT FILING DATE March 25, 2004	ART UNIT To Be Assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER		NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD			-			
	AE					-	
	AF						
	AG						
	AH						
	AI	-				1000	
	AJ						
	AK						
			FOREIG	N PATENT DOCUM	FNTS		
EXAMINER INITIAL		DOCUMEN NUMBER		COUNTRY	CLASS	SUB-CLASS	TRANSLATION
/MM/	AL9	WO 03/05938		WIPO			Yes No
000000	AM9	WO 04/0003	51 A1 12/31/2003	WIPO			Yes No
000000000000000000000000000000000000000	AN9	WO 04/0075	38 A2 01/22/2004	WIPO			Yes No
00000000	AO						Yes No
V	AP						Yes No
		ОТЬ	IER (Including Au	thor, Title, Date, Pe	rtinent Pages, etc.)	
	AR						
	AS						
	АТ						
EXAMINER	/N	lary Mosher	 		DATE	CONSIDERED	12/31/2007
EXAMINER: In and not consider	itial if refe ered. Inclu	rence considere	d, whether or not citatio	n is in conformance with I cation to Applicant.	MPEP 609. Draw line the	ough citation if no	t in conformance

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Olider the Laberwork Reduction Act of a St. ilo ber		information diffess it contains a valid Givib condition number
Substitute for form 1449/PTO		mplete if Known
INFORMATION DIGGLOCUPE	Application Number	10/551,054 (National Phase of Intl. Appl. No. PCT/EP04/003164)
INFORMATION DISCLOSURE	PCT Filing Date	March 25, 2004
STATEMENT BY APPLICANT	First Named Inventor	Martin F. BACHMANN
(Use as many sheets as necessary)	Art Unit	To Be Assigned
	Examiner Name	To Be Assigned
Sheet 1 of 9	Attorney Docket Number	1700.0590000/BJD/WBC

_		NON PATENT LITERATURE DOCUMENTS	
Examine Initials*	r Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
/MM	NPL1	Adams, S.E., et al., "The expression of hybrid HIV:Ty virus-like particles in yeast," Nature 329:68-70, Nature Publishing Group (1987)	
000000000000000000000000000000000000000	NPL2	Addo, M.M., et al., "Comprehensive Epitope Analysis of Human Immunodeficiency Virus Type 1 (HIV-1)-Specific T-Cell Responses Directed against the Entire Expressed HIV-1 Genome Demonstrate Broadly Directed Responses, but No Correlation to Viral Load," J. Virol. 77:2081-2092, American Society for Microbiology (February 2003)	
-	NPL3	Bachmann, M.F., and Zinkernagel, R., "The influence of virus structure on antibody responses and virus serotype formation," <i>Immunol. Today 17</i> :553-558, Elsevier Science (1996)	
250000000000000000000000000000000000000	NPL4	Bachmann, M.F., and Zinkernagel, R.M., "Neutralizing Antiviral B Cell Responses," <i>Ann. Rev. Immunol.</i> 15:235-270, Annual Reviews, Inc. (1997)	
000000000000000000000000000000000000000	NPL5	Brown, W.L., et al., "RNA Bacteriophage Capsid-Mediated Drug Delivery and Epitope Presentation," Intervirol. 45:371-380, S. Karger AG (July–December 2002)	-
000000000000000000000000000000000000000	NPL6	Buonaguro, L., et al., "High efficient production of Pr55 ^{gag} virus-like particles expressing multiple HIV-1 epitopes, including a gp120 protein derived from an Ugandan HIV-1 isolate of subtype A," <i>Antiviral Res</i> 49:35-47, Elsevier Science B.V. (2001)	
880995000000000000000000000000000000000	NPL7	Chackerian, B., et al., "Induction of autoantibodies to mouse CCR5 with recombinant papillomavirus particles," <i>Proc. Natl. Acad. Sci. USA</i> 96:2373-2378, The National Academy of Sciences (1999)	
000000000000000000000000000000000000000	NPL8	Chen, J.L., et. al., "Identification of NY-ESO-1 Peptide Analogues Capable of Improved Stimulation of Tumor-Reactive CTL," J. Immunol. 165:948-55, The American Association of Immunologists (2000)	
55555555555555555555555555555555555555	NPL9	Cohen, P.A., et al., "CD4 ⁺ T-Cells from Mice Immunized to Syngeneic Sarcomas Recognize Distinct, Non-Shared Tumor Antigens," Cancer Research 54:1055-8, American Association for Cancer Research (1994)	
V	NPL10	De Clercq, E., "Interferon Induction by Polynucleotides, Modified Polynucleotides, and Polycarboxylates," <i>Methods Enzymol.</i> 78:227-36, Academic Press, Inc. (1981)	

Examiner Signature	/Mary Mosher/	Date Considered	12/31/2007	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO Complete if Known 10/551,054 (National Phase of Intl. Application Number Appl. No. PCT/EP04/003164) INFORMATION DISCLOSURE PCT Filing Date March 25, 2004 STATEMENT BY APPLICANT First Named Inventor Martin F. BACHMANN (Use as many sheets as necessary) Art Unit To Be Assigned To Be Assigned Examiner Name Sheet 2 9 Attorney Docket Number 1700.0590000/BJD/WBC of

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T
/MM/	NPL11	Dudley, M.E., et al., "Cancer Regression and Autoimmunity in Patients After Clonal Repopulation with Antitumor Lymphocytes," Science 298:850-854, American Association for the Advancement of Science (October 2002)	
99-9-9-9-9-9-9-9-9-9-9-9-9-9-9-9-9-9-9-9	NPL12	Engleman, E.G., "Dendritic cells: Potential role in cancer therapy," <i>Cytotechnol. 25</i> :1-8, Kluwer Academic Publishers (1997)	
201000000000000000000000000000000000000	NPL13	Fehr, T., et al., "Role of Repetitive Antigen Patterns for Induction of Antibodies Against Antibodies," J. Exp. Med. 185:1785-92, The Rockefeller University Press (1997)	
	NPL14	Fehr, T., et al., "T cell-independent type I antibody response against B cell epitopes expressed repetitively on recombinant virus particles," <i>Proc. Natl. Acad. Sci. USA</i> 95:9477-9481, The National Academy of Sciences (1998)	
000000000000000000000000000000000000000	NPL15	Firat, H., et al., "H-2 class I knockout, HLA-A2.1-transgenic mice: a versatile animal model for preclinical evaluation of antitumor immunotherapeutic strategies," Eur. J. Immunol. 29:3112-3121, WILEY-VCH Verlag GmbH (1999)	
935000000000000000000000000000000000000	NPL16	Fitchen, J., et al., "Plant virus expressing hybrid coat protein with added murine epitope elicits autoantibody response," Vaccine 13:1051-1057, Elsevier Science (1995)	
000000000000000000000000000000000000000	NPL17	Gerber, S., et al., "Human Papillomavirus Virus-Like Particles Are Efficient Oral Immunogens when Coadministered with <i>Escherichia coli</i> Heat-Labile Enterotoxin Mutant R192G or CpG DNA," <i>J. Virol.</i> 75:4752-60, American Society for Microbiology (2001)	
95555555000000000000000000000000000000	NPL18	Geysen, H.M., et al., "Use of peptide synthesis to probe viral antigens for epitopes to a resolution of a single amino acid," <i>Proc. Natl. Acad. Sci. USA 81</i> :3998-4002, The National Academy of Sciences (1984)	
200000000000000000000000000000000000000	NPL19	Gilbert, S.C., et al., "A protein particle vaccine containing multiple malaria epitopes," Nat. Biotechnol. 15:1280-1284, Nature America Publishing (1997)	
	NPL20	Gluckman, J.C., et al., "In vitro generation of human dendritic cells and cell therapy," Cytokines Cell. Mol. Ther. 3:187-96, Martin Dunitz Ltd. (1997)	

Examiner	/Mary Mosher/	Date	12/31/2007	\neg
Signature	/ivially iviosite!/	Considered		-

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO Complete if Known 10/551,054 (National Phase of Intl. Application Number Appl. No. PCT/EP04/003164) INFORMATION DISCLOSURE PCT Filing Date March 25, 2004 STATEMENT BY APPLICANT First Named Inventor Martin F. BACHMANN (Use as many sheets as necessary) Art Unit To Be Assigned Examiner Name To Be Assigned 1700.0590000/BJD/WBC Sheet 3 9 of Attorney Docket Number

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
/MM/	NPL21	Golmohammadi, R., <i>et al.</i> , "The crystal structure of bacteriophage Qβ at 3.5 Å resolution," <i>Structure 4</i> :543-554, Current Biology Ltd. (1996)	
200000000000000000000000000000000000000	NPL22	Graff-Dubois, S., et. al., "Generation of CTL Recognizing an HLA-A*0201-Restricted Epitope Shared by MAGE-A1, -A2, -A3, -A4, -A6, -A10, and -A12 Tumor Antigens: Implication in a Broad-Spectrum Tumor Immunotherapy," J. Immunol. 169:575-580, The American Association of Immunologists, Inc. (July 2002)	
800000000000000000000000000000000000000	NPL23	Harada, M., et. al., "Use of an in Vitro Immunoselected Tumor Line to Identify Shared Melanoma Antigens Recognized by HLA-A*0201-restricted T Cells," Cancer Res. 61:1089–1094, American Association for Cancer Research (2001)	
858880000000000000000000000000000000000	NPL24	Huston, J.S., et al., "Protein Engineering of Single-Chain Fv Analogs and Fusion Proteins," Methods Enzymol. 203:46-88, Academic Press, Inc. (1991)	
000000000000000000000000000000000000000	NPL25	Jegerlehner, A., et al., "A molecular assembly system that renders antigens of choice highly repetitive for induction of protective B cell responses," <i>Vaccine</i> 20:3104-3012, Elsevier Science Ltd. (August 2002)	
***************************************	NPL26	Kaisho, T. and Akira, S., "Toll-like receptors as adjuvant receptors," <i>Biochim. Biophys. Acta 1589</i> :1-13, Elsevier Science (February 2002)	
000000000000000000000000000000000000000	NPL27	Kang, C.Y., et al., "Development of HIV/AIDS Vaccine Using Chimeric gag-env Virus-Like Particles," Biol. Chem. 380:353-64, Walter De Gruyter (1999)	
000000000000000000000000000000000000000	NPL28	Klinman, D.M., et al., "Immunotherapeutic Applications of CpG-Containing Oligodeoxynucleotides," Drug News Perspect. 13:289-296, Prous Science (2000)	
33300000000000000000000000000000000000	NPL29	Klovins, J., et al., "Nucleotide sequence of a ssRNA phage from Acinetobacter: kinship to coliphages," J. Gen. Virol. 83:1523-1533, SGM (June 2002)	
V	NPL30	Kobayashi H., et. al., "Tyrosinase epitope recognized by an HLA-DR-restricted T-cell line from a Vogt-Koyanagi-Harada disease patient," <i>Immunogenet.</i> 47:398-403, Springer-Verlag (1998)	

Examiner Signature	/Mary Mosher/	Date Considered	12/31/2007
		00110104144	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Applicant is to place a check mark here if English language Translation is attached.

Applicant is to place a check mark here it English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for	r form 1449/PT	o		Complete if Known		
YN DOD		D. T. C.		Application Number	10/551,054 (National Phase of Intl. Appl. No. PCT/EP04/003164)	
			CLOSURE	PCT Filing Date	March 25, 2004	
STATE			PLICANT	First Named Inventor	Martin F. BACHMANN	
	(Use as many	sheets a	s necessary)	Art Unit	To Be Assigned	
				Examiner Name	To Be Assigned	
Sheet	4	of	9	Attorney Docket Number	1700.0590000/BJD/WBC	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
/MM/	NPL31	Kobayashi, H., et. al., "Tumor-reactive T Helper Lymphocytes Recognize a Promiscuous MAGE-A3 Epitope Presented by Various Major Histocompatibility Complex Class II Alleles," Cancer Res. 61:4773-4778, American Association for Cancer Research (2001)	
888709909090000000000000000000000000000	NPL32	Kozlovska, T. M., et al., "RNA Phage Qβ Coat Protein as a Carrier for Foreign Epitopes," Intervirol. 39:9-15, S. Karger AG Basel (1996)	
000000000000000000000000000000000000000	NPL33	Kozlovska, T.M., <i>et al.</i> , "Recombinant RNA phage Qβ capsid particles synthesized and self-assembled in <i>Escherichia coli</i> ," <i>Gene 137</i> :133-137, Elsevier Science Publishers B.V. (1993)	
30000000000000000000000000000000000000	NPL34	Kratz, P.A., et al., "Native display of complete foreign protein domains on the surface of hepatitis B virus capsids," <i>Proc. Natl. Acad. Sci. USA</i> 96:1915-1920, The National Academy of Sciences (1999)	
000000000000000000000000000000000000000	NPL35	Krug, A., et al., "Identification of CpG oligonucleotide sequences with high induction of IFN-α/β in plasmacytoid dendritic cells," <i>Eur. J. Immunol.</i> 31:2154-63, WILEY Verlag GmbH (2001)	
000000000000000000000000000000000000000	NPL36	Kuramoto, E., et al., "Oligonucleotide Sequences Required for Natural Killer Cell Activation," Jpn. J. Cancer Res. 83:1128-1131, Japanese Cancer Association (1992)	
000000000000000000000000000000000000000	NPL37	Lechner, F., et al., "Virus-like Particles as a Modular System for Novel Vaccines," Intervirol. 45:212-217, S. Karger AG (July-December 2002)	
200000000000000000000000000000000000000	NPL38	Levy, H.B., "Induction of Interferon <i>in Vivo</i> and <i>in Vitro</i> by Polynucleotides and Derivatives, and Preparation of Derivatives," <i>Methods Enzymol.</i> 78:242-51, Academic Press, Inc. (1981)	
000000000000000000000000000000000000000	NPL39	Li, Y., et al., "Vaccination Against Angiogenesis-Associated Antigens: A Novel Cancer Immunotherapy Strategy," <i>Curr. Mol. Med.</i> 3:773-779, Bentham Science Publishers Ltd. (December 2003)	
V	NPL40	Linette, G.P., et. al., "In Vitro Priming with Adenovirus/gp100 Antigen-Transduced Dendritic Cells Reveals the Epitope Specificity of HLA-A*0201-Restricted CD8* T cells in Patients with Melanoma," J. Immunol. 164:3402-3412, The American Association of Immunologists (2000)	

Examiner	Many Machar	Date	12/31/2007
Signature	/Mary Mosher/	Considered	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, and submitting the completed explications from the the USPTO. The will very depending upon the individual ease. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for t	form 1449/PT	0		Complete if Known			
IN ECONO	INFORMATION DISCLOSURE		CT 0.671777	Application Number	10/551,054 (National Phase of Intl. Appl. No. PCT/EP04/003164)		
				PCT Filing Date	March 25, 2004		
	STATEMENT BY APPLICANT			First Named Inventor	Martin F. BACHMANN		
((Use as many s	sheets a	is necessary)	Art Unit	To Be Assigned		
<u> </u>				Examiner Name	To Be Assigned		
Sheet	5	of	9	Attorney Docket Number	1700.0590000/BJD/WBC		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
/MM/	NPL41	Luo, L., et al., "Induction of V3-Specific Cytotoxic T Lymphocyte Responses by HIV gag Particles Carrying Multiple Immunodominant V3 Epitopes of gp120," Virol. 240:316-325, Academic Press (1998)	
NEROSSESSES CONTROL OF THE PROPERTY OF THE PRO	NPL42	Malling, HJ., and Taudorf, E., "Allergenicity of alum-precipitated grass pollen extracts with different RAST activity," <i>Clin. Allergy</i> 17:399-404, Blackwell Scientific Publications (1987)	
000000000000000000000000000000000000000	NPL43	Martin, S.J., et al., "Immunization of human HIV-seronegative volunteers with recombinant p17/p24:Ty virus-like particles elicits HIV-1 p24-specific cellular and humoral immune responses," AIDS 7:1315-23, Current Science Ltd. (1993)	
000000000000000000000000000000000000000	NPL44	Moss, R.B., et al., "In vitro immune function after vaccination with an inactivated, gp120-depleted HIV-1 antigen with immunostimulatory oligodeoxynucleotides," <i>Vaccine 18</i> :1081-7, Elsevier Science Ltd. (2000)	
000000000000000000000000000000000000000	NPL45	Neirynck, S., et al., "A universal influenza A vaccine based on the extracellular domain of the M2 protein," Nature Med. 5:1157-63, Nature Publishing Company (1999)	
PERSENCED COORDINATE	NPL46	Nieland, J.D., et al., "Chimeric Papillomavirus Virus-like Particles Induce a Murine Self-Antigen-Specific Protective and Therapeutic Antitumor Immune Response," <i>J. Cell. Biochem.</i> 73:145-152, Wiley-Liss, Inc. (1999)	
55/53/6000000000000000000000000000000000	NPL47	Nielsen, M.B., et. al., "Status of Activation of Circulating Vaccine-Elicited CD8 ⁺ T Cells," J. Immunol. 165:2287-96, The American Association of Immunologists (2000)	
88999988999999999	NPL48	Notka, F., et al., "Accelerated clearance of SHIV in rhesus monkeys by virus-like particle vaccines is dependent on induction of neutralizing antibodies," <i>Vaccine 18</i> :291-301, Elsevier Science Ltd. (2000)	
000000000000000000000000000000000000000	NPL49	Nussbaum, A.K., et al., "PAProC: a prediction algorithm for proteasomal cleavages available on the WWW," <i>Immunogenet</i> . 53:87-94, Springer-Verlag (2001)	
V	NPL50	Oxenius, A., et al., "CpG-Containing Oligonucleotides Are Efficient Adjuvants for Induction of Protective Antiviral Immune Responses with T-Cell Peptide Vaccines," <i>J. Virol.</i> 73:4120-4126, American Society for Microbiology (1999)	

Examiner	/Mary Mosher/	Date	12/31/2007
Signature	/ivially iviosite!/	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including propagation and application. of the process an application. Commentating is governed by 35 0.5.2. 122 and 37 CFR 1.14. This collection is estimated to take 2 nours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form	1449/PT	Э		Complete if Known		
INDODRAG	NFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/551,054 (National Phase of Intl. Appl. No. PCT/EP04/003164)		
		PCT Filing Date	March 25, 2004			
				First Named Inventor	Martin F. BACHMANN	
(Use	as many s	sheets a	s necessary)	Art Unit	To Be Assigned	
				Examiner Name	To Be Assigned	
Sheet	6	of	9	Attorney Docket Number	1700.0590000/BJD/WBC	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
/MM/	NPL51	Panelli, M.C., et. al., "Expansion of Tumor-T Cell Pairs from Fine Needle Aspirates of Melanoma Metastases," J. Immunol. 164:495-504, The American Association of Immunologists (2000)	
Examiner Initials* Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published MM/			
000000000000000000000000000000000000000	NPL53		
000000000000000000000000000000000000000	NPL54	Immunologic Assessment Using the ELISPOT Assay," Cancer J. Sci. Am. 4:316-323,	
000000000000000000000000000000000000000	NPL55	Pumpens, P. and Grens, E., "HBV Core Particles as a Carrier for B Cell/T Cell Epitopes," <i>Intervirol.</i> 44:98-114, S. Karger AG (2001)	
000000000000000000000000000000000000000	NPL56		
000000000000000000000000000000000000000	NPL57	Rammensee, H., et al., "SYFPEITHI: database for MHC ligands and peptide motifs," Immunogenet. 50:213-219, Springer-Verlag (1999)	
***************************************	NPL58	Roth, J.F., "The yeast Ty virus-like particles," <i>Yeast 16</i> :785-795, John Wiley & Sons, Ltd. (2000)	
000000000000000000000000000000000000000	NPL59	the Induction of Antibody Responses by Chimeric Parvovirus-like Particles," Virol. 263:89-	
V	NPL60	Sallusto, F. and Lanzavecchia, A., "Efficient Presentation of Soluble Antigen by Cultured Human Dendritic Cells Is Maintained by Granulocyte/Macrophage Colony-stimulating Factor Plus Interleukin 4 and Downregulated by Tumor Necrosis Factor α," <i>J. Exp. Med.</i> 179:1109-1118, The Rockefeller University Press (1994)	

|--|

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Со	Complete if Known		
YNINO DAG . M		or ooring	Application Number	10/551,054 (National Phase of Intl. Appl. No. PCT/EP04/003164)			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				PCT Filing Date	March 25, 2004		
				First Named Inventor	Martin F. BACHMANN		
(Use	as many s	heets a	is necessary)	Art Unit	To Be Assigned		
				Examiner Name	To Be Assigned		
Sheet	7	of	9	Attorney Docket Number	1700.0590000/BJD/WBC		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
/MM/	NPL61	Salunke, D.M., et al., "Self-Assembly of Purified Polyomavirus Capsid Protein VP ₁ ," Cell 46:895-904, Cell Press (1986)	
000000000000000000000000000000000000000	NPL62	Sasnauskas, K., et al., "Yeast Cells Allow High-Level Expression and Formation of Polyomavirus-Like Particles," <i>Biol. Chem.</i> 380:381-6, Walter de Gruyter (1999)	
	NPL63	Skipper, J.C.A., et al., "Mass-Spectrometric Evaluation of HLA-A*0201-Associated Peptides Identifies Dominant Naturally Processed Forms of CTL Epitopes from MART-1 and gp100," Int. J. Cancer 82:669-677, Wiley-Liss Inc. (1999)	:
Specialisation	NPL64	Slepushkin, V.A., et al., "Protection of mice against influenza A virus challenge by vaccination with baculovirus-expressed M2 protein," Vaccine 13:1399-1402, Elsevier Science (1995)	
222222222222222222222222222222222222222	NPL65	Smiley, B.K. and Minion, F.C., "Enhanced readthrough of opal (UGA) stop codons and production of <i>Mycoplasma pneumoniae</i> P1 epitopes in <i>Escherichia coli</i> ," <i>Gene 134</i> :33-40, Elsevier Science Publishers B.V. (1993)	
000000000000000000000000000000000000000	NPL66	Sparwasser, T., et al., "Immunostimulatory CpG-Oligodeoxynucleotides Cause Extramedullary Murine Hemopoiesis," <i>J. Immunol.</i> 162:2368-2374, The American Association of Immunologists (1999)	
000000000000000000000000000000000000000	NPL67	Speiser, D.E., et al., "In vivo activation of melanoma-specific CD8 ⁺ T cells by endogenous tumor antigen and peptide vaccines. A comparison to virus-specific T cells," Eur. J. Immunol. 32:731-741, WILEY-VCH Verlag GmbH (March 2002)	
	NPL68	Steinman, R.M., "Dendritic cells and immune-based therapies," <i>Exp. Hematol.</i> 24:859-862, Elsevier Science Inc. (1996)	
200000000000000000000000000000000000000	NPL69	Storni, T., et al., "Critical Role for Activation of Antigen-Presenting Cells in Priming of Cytotoxic T Cell Responses After Vaccination with Virus-Like Particles," <i>J. Immunol.</i> 168:2880-2886, The American Association of Immunologists (March 2002)	
- \	NPL70	Sun, Y., et. al., "Identification of a New HLA-A*0201-Restricted T-Cell Epitope from the Tyrosinase-Related Protein 2 (TRP2) Melanoma Antigen," <i>Int. J. Cancer</i> 87:399-404, Wiley-Liss Inc. (2000)	

Examiner	/Mary Mosher/	Date	12/31/2007
Signature	/ivially iviositel/	Considered	1.00, 0.1, 0.00

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO Complete if Known 10/551,054 (National Phase of Intl. Application Number Appl. No. PCT/EP04/003164) INFORMATION DISCLOSURE **PCT Filing Date** March 25, 2004 STATEMENT BY APPLICANT First Named Inventor Martin F. BACHMANN (Use as many sheets as necessary) Art Unit To Be Assigned To Be Assigned **Examiner Name** 1700.0590000/BJD/WBC Sheet 8 of 9 Attorney Docket Number

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
/MM/ I	NPL71	Taylor, K.M., et al., "Position-Dependent Processing of Peptides Presented on the Surface of Cowpea Mosaic Virus," <i>Biol. Chem.</i> 380:387-392, Walter de Gruyter (1999)	
000000000000000000000000000000000000000	NPL72	Toes, R.E., et al., "Discrete Cleavage Motifs of Constitutive and Immunoproteasomes Revealed by Quantitative Analysis of Cleavage Products," J. Exp. Med. 194:1-12, The Rockefeller University Press (2001)	
000000000000000000000000000000000000000	NPL73	Torrence, P.F., "Preparation of a Synthetic Polynucleotide Interferon Inducer," <i>Meth. Enzymol.</i> 78:326-331, Academic Press, Inc. (1981)	
	NPL74	Touzé, A., et al., "Gene transfer using human polyomavirus BK virus-like particles expressed in insect cells," <i>J. Gen. Virol.</i> 82:3005-3009, Society for General Microbiology (2001)	
	NPL75	Valmori, D., et al., "Enhanced Generation of Specific Tumor-Reactive CTL In Vitro by Selected Melan-A/MART-1 Immunodominant Peptide Analogues," <i>J. Immunol.</i> 160:1750-1758, The American Association of Immunologists (1998)	
	NPL76	Valmori, D., et al., "Diversity of the Fine Specificity Displayed by HLA-A*0201-Restricted CTL Specific for the Immunodominant Melan-A/MART-1 Antigenic Peptide," J. Immunol. 161:6956-6962, The American Association of Immunologists (1998)	
	NPL77	van Schooten, W.C.A., et al., "Biological properties of dendritic cells: implications to their use in the treatment of cancer," Mol. Med. Today 255:254-260, Elsevier Science (1997)	
200000000000000000000000000000000000000	NPL78	Vasiljeva, I., <i>et al.</i> , "Mosaic Qβ coats as a new presentation model," <i>FEBS Lett 431</i> :7-11, Elsevier Science B.V. (1998)	
000000000000000000000000000000000000000	NPL79	Witte, L., et al., "Monoclonal antibodies targeting the VEGF receptor-2 (Flk1/KDR) as an anti-angiogenic therapeutic strategy," Cancer Metastasis Rev. 17:155-161, Kluwer Academic Publishers (1998)	
V	NPL80	Yuan, T.T., et al., "Subtype-Independent Immature Secretion and Subtype-Dependent Replication Deficiency of a Highly Frequent, Naturally Occurring Mutation of Human Hepatitis B Virus Core Antigen." J. Virol. 73:10122-10128, American Society for Microbiology (1999)	

ı	Examiner	Mary Macharl	Date	12/31/2007
ı	Signature	/Mary Mosher/	Considered	12/01/2001

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and

not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO			-	Co	Complete if Known	
INFORM				Application Number	10/551,054 (National Phase of Intl. Appl. No. PCT/EP04/003164)	
INFORMA				PCT Filing Date	March 25, 2004	
STATEMENT BY APPLICANT				First Named Inventor	Martin F. BACHMANN	
(Us	se as many	sheets a	s necessary)	Art Unit	To Be Assigned	
				Examiner Name	To Be Assigned	
Sheet	9	of	9	Attorney Docket Number	1700.0590000/BJD/WBC	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published			
/MM/	NPL81	Zarour, H.M., et.al., "NY-ESO-1 119-143 Is a Promiscuous Major Histocompatibility Complex Class II T-Helper Epitope Recognized by Th1- and Th2-Type Tumor-reactive CD4 [†] T Cells," Cancer Res. 62:213-218, American Association for Cancer Research (January 2002)			
900000000000000000000000000000000000000	NPL82	Zhou, S. and Standring, D.N., "Cys Residues of the Hepatitis B Virus Capsid Protein Are Not Essential for the Assembly of Viral Core Particles but Can Influence Their Stability," <i>J. Virol.</i> 66:5393-5398, American Society for Microbiology (1992)			
V	NPL83	Dialog File 351, Accession No. 4796523, Derwent WPI English language abstract for EP 0 201 416 A1, cited as document AO1 on Form PTO-1449			
	-				
		·			

514074_1.DOC

Examiner Signature	/Mary Mosher/	Date Considered	12/31/2007
Signature	·	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents. P.O. Box 1450, Alexandria, VA 22313-1450. ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.